

Veritas Enterprise Vault™ Software for SMTP

Now from Symantec™

Organizations worldwide have realized the importance of information held in their corporate messaging platform. Management of this information is a critical component of their corporate risk management strategy. Likewise, Enterprise Vault has proven itself as the tool of choice for organizations that are running Microsoft® Exchange to manage this information. Enterprise Vault Version 6 now gives similar opportunities to organizations running SMTP-based email systems

MANAGEMENT AND CONTROL OF CORPORATE INFORMATION

There are many obligations that organizations may have to adhere to as part of corporate governance activities. SEC 14a, NASD, and Freedom of Information are all examples of regulations that affect companies, and every organization should examine in detail its risks and obligations. There are many themes that are common to these regulations, and it is these areas that an archiving application should address.

Retention of email information

The basic premise of regulations are that the decision to delete email information is removed from the end user and given to the corporation. Users are of course able to manage their own mailbox, but the organization must ensure that it retains information for an extended period of time, despite users' actions. There are many periods of time for which organizations will be required to retain data. This may be “forever” with an average email information retention requirement of 7 years. Every email entered into

Enterprise Vault software will have a retention period added to it that will manage the lifecycle of this item.

Expiration management

Although it is vital for organizations to retain data for an extended period, once they have met their obligations for information retention they will want to delete this corporate record as this now presents a risk. Once an email record, held in Enterprise Vault software meets its retention period it can be deleted. These retention periods in Enterprise Vault can be flexibly reassigned at any time and expiry can even be suspended if needed.

Saved fully and securely

Not only should items be held in a tamper-proof manner (read only), they should only be accessed by a responsible person, with appropriate security. Moreover the entire data should be retained. A good example of this is distribution list expansion. In compliance terms, knowing that a message was sent to “sales” three years ago is not enough. It is equally important to know who was in the sales group three years ago. Enterprise Vault software saves all items along with security and retains all per user information with the item.

Specialized storage requirements

Certain regulations will demand that read only or WORM (write once read many) storage be used. Lotus Notes® cannot directly support such storage devices (e.g., EMC Centera, NetApp® NearStore with SnapLock, IBM® DR550). The Enterprise Vault Open Storage Layer allows your organization to utilize the storage platform of choice rather than that supported by the messaging system.



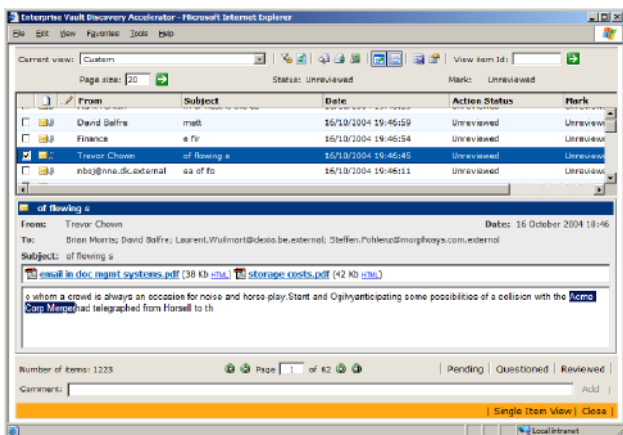
Timely access

Of course storage of regulatory information is not the real end. Being able to recall this information in a timely fashion is the critical requirement. Many regulations demand that when requested you recover all necessary items in a very limited amount of time. To ensure that such demands are met and the items are recalled nearly instantly, every item entered into Enterprise Vault software is fully indexed to facilitate rapid recall.

Management of costs

All of the above mentioned actions and facets of a compliant system need to be achieved at a cost that an organization can afford. Storage rationalization (compression and Single Instance storage) ensure that the effects of regulatory compliance activity are at as low a cost as possible.

BUSINESS ACCELERATORS



The Business Accelerators allow rapid and controlled regulatory access to archived information.

The storage of regulated information is only the start. As information is removed from Lotus Notes and placed in Enterprise Vault software, it is fully managed—but Enterprise Vault Business Accelerators are required to manage the exploitation of this data.

Discovery Accelerator is an application that will allow organizations to exploit the information that is held in the archive quickly and effectively. If a discovery request has to be achieved, Discovery Accelerator will manage this process from the initial search, to the qualification of the discovered information, all the way to producing the relevant information.

Discovery Accelerator will allow multiple users to securely search the massive amounts of data from Notes held in Enterprise Vault. Much of the data likely to be found in the initial search will not be relevant to the end case, but Discovery Accelerator will enable a number of reviewers to locate only the information relevant to this search. All information review will be marked and this marking is a critical aspect in proving the evidential weight of the archived content and the search process.

A FRAMEWORK FOR COMPLIANCE

Of course it's likely that an organization may want to manage more compliant information than just Lotus Notes email with Enterprise Vault software. Other examples of compliant data include Instant Messages or files.

Enterprise Vault software allows organizations to create a framework for compliance and to manage several information sources with one application, further lowering the costs of ownership of this application and the compliance process as a whole.

SMTP IS NOT JUST MESSAGING

Many applications generate SMTP content even though they have nothing to do with messaging directly. For example, billing and invoicing systems often create copies of application data via SMTP. This data can also be sent to the Enterprise Vault SMTP listener for archiving.

FEATURE POINTS OF ENTERPRISE VAULT

SMTP SUPPORT

Does Enterprise Vault utilize the IIS SMTP service?	Yes. IIS is a critical part of the installation of any Enterprise Vault system and the SMTP service will be configured to accept SMTP data and store it in a specific vault.
Does Enterprise Vault natively read MIME Encoded email?	Even if NOT passed by IIS SMTP server Enterprise Vault Version 6.0 will be able to natively read MIME Encoded data.
Will the vault be able to manage SMTP and other information sources simultaneously?	Yes, this is a critical aspect of the creation of a compliance framework.
Is this a stand-alone service (that is, no other system is needed to bridge to SMTP)?	IIS is needed; apart from that, this is direct support for SMTP.

More information

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About Symantec

Symantec is the world leader in providing solutions to help individuals and enterprises assure the security, availability, and integrity of their information. Headquartered in Cupertino, Calif., Symantec has operations in more than 40 countries. More information is available at www.symantec.com.

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